

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI et al. Art Unit : 2635
Serial No. : 09/842,219 Examiner : Unknown

Filed : April 26, 2001
Title : A SYSTEM FOR IDENTIFYING AN INDIVIDUAL, A METHOD FOR
IDENTIFYING AN INDIVIDUAL OR A BUSINESS METHOD

Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office action on the merits.
Please apply any charges or credits to Deposit Account No. 06-1050.


Respectfully submitted,

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Date: January 11, 2002


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| Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12732-032001 | Application No. 09/842,219 |
| | Applicant Shunpei YAMAZAKI et al. | | |
| | Filing Date April 26, 2001 | Group Art Unit 2635 | |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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Foreign Patent Documents or Published Foreign Patent Applications

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| | | | | | | | Yes | No |
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Other Documents (include Author, Title, Date, and Place of Publication)

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| | AL | Anna Stockel; "Securing Data and Financial Transactions"; <i>Security Technology, 1995 Proceedings</i> ; pp. 397-401; Institute of Electrical and Electronics Engineers 29 th Annual 1995 International Carnahan Conference on Sanderstead, UK; October 18-20, 1995 |
| | AM | Hyun-Jung; "Biometrics, Is it a Viable Proposition for Identity Authentication and Access Control?"; <i>Computers & Security</i> , Vol. 14; pp. 215-214; 1995 |
| | AN | Tetsuji Kobayashi; "A Fingerprint Image Recognition Method for Network User Identification"; <i>Computing and Information, 1992 Proceedings</i> ; pp. 369-372; ICCI'92, Fourth International Conference on Toronto, Ontario, Canada; May 28-30, 1992 |
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| | AQ | |

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|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |